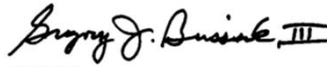


Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Department of Transportation and New Jersey Department of Environmental Protection	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	FHWA and FEMA	
3.	State Funding (if applicable)	Yes	
4.	Award Type	FHWA and FEMA	
5.	Award Amount	Approximately \$242 million excluding change orders	
6.	Contract/Program Person/Title	Ahmad Qureshi is the Project Manager for DOT projects and Erick Doyle is the Project Manager for the DEP project	
7.	Brief Description, Purpose and Rationale of Project/Program	NJDOT and NJDEP received funding from the Federal Highway Administration (FHWA) and Federal Emergency Management Agency (FEMA) for contracts in connection with damage caused by Superstorm Sandy. NJDOT procured the services to 3 contractors for 3 different projects for restoration repairs along Route 35. Those 3 contractors are Union Paving and Construction Company (DP 13130), George Harms Construction Company (DP 13120), and Agate Construction Company (DP 13114). NJDEP procured the services of EIC Associates to construct and install a four-mile long steel seawall to help protect sections of Mantoloking, Brick and Route 35 from future natural disasters.	
8.	Contract/Program Location	NJ Department of Transportation, Trenton NJ and NJ Department of Environmental Protection, Toms River, NJ	
9.	Amount Expended to Date	All DOT projects as of 2/26/15 and including change orders: DP 13130 = approximately \$75,012,200; DP 13120 = approximately \$119,470,252; DP 13114 = approximately \$43,253,192. NJDEP = approximately \$22,896,000 as of July 2015.	
10.	Amount Provided to other State or Local Entities	\$0	
11.	Completion Status of Contract or Program	DP 13130 substantially complete; DP 13120 approximately 87.5%; DP 13114 approximately 95%; EIC approximately 98%	
12.	Expected Contract End Date/Time Period	DP 13130 - punchlist stage and is substantially complete; DP 13120 - per Resident Engineer, mid-January 2016; DP 13114 - punchlist stage and is substantially complete; EIC substantially complete.	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	For NJDEP's project, the project manager noted that the project is almost complete and about 98% of the steel seawall is now covered in sand to help protect sections of Mantoloking, Brick and Route 35 from future natural disasters. There has been several walkthroughs and inspections and no issues or comments were noted. As for the NJ DOT projects, Per Project Manager for the DOT projects, DP 13130 is substantially complete and was noted that September is the estimated final completion date. For DP 13120, they are hoping to have the project substantially completed by the Fall of 2015. Significant delays were incurred during the summer months due to a stop order because of the high volume of visitors. As for DP 13114, this project is substantially complete.	

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No.	Recipient Data Elements	Response	Comments
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Met and spoke with the Project Manager for the DOT projects on 7/2/15 to discuss various internal controls and procedures related to the 3 projects we were contracted to monitor. Also met with procurement personnel to discuss the procurement process. Various discussions throughout this quarter with NJ DOT regarding the status of our requested items. It was also noted that there were numerous change orders for the 3 DOT projects. As of 2/26/15, we were notified of 15 changes orders approximating to \$31 million for the George Harms Construction Company contract, 10 change orders approximating to \$6 million for the Agate Construction Company contract, 10 change orders approximating to \$9 million for the Union Paving and Construction Company contract. On 9/17/15, CLA met and spoke with NJ DOT in regards to the project expenditure details. NJ DOT provided the expenditure detail reports with a description and also provided a brief overview regarding the federal bill process. As for the NJ DEP project, CLA spoke with NJ DEP project manager and Hatch Mott MacDonald on various occasions to get an update on the DEP project and as to when we would be able to receive our requested documentation. No items were provided as of 9/30/15.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Management has been cooperative in making themselves available to help move our monitoring of the contracts.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	No testing has occurred to date. Although our kick off meeting was January 23, 2015, there were several delays in regards to the contract and our official start date was pushed back to May 26, 2015. See item 14 in regards to the activities performed for this quarter.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	No, see item #16.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	N/A	
19.	Provide details of any integrity issues/findings	None noted.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None noted.	
21.	Provide details on any other items of note that have occurred in the past quarter	None noted.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	None noted.	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	SEE ATTACHMENT - NEXT TAB IN SPREADSHEET	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	N/A	

Name of Integrity Monitor:	CliftonLarsonAllen LLP
Name of Report Preparer:	Gregory J. Bussink, III, Principal
Signature:	
Date:	1/4/2016

State of New Jersey
Department of Treasury
Integrity Monitoring Reporting Model
Engagement: Task Order #: DOT 1-1A-E; Contract # 88364
For Quarter Ending: 9/30/2015

HOURS

Principal	15.25
Program manager	77.40
Project manager	83.00
Associate/staff	<u>11.00</u>
Total Hours	<u><u>186.65</u></u>